

Battelle Memorial Institute
505 King Avenue, Columbus, Ohio 43201-2693

OFFEROR REPRESENTATIONS AND CERTIFICATIONS – PROCUREMENTS OF COMMERCIAL ITEMS/SERVICES AND SUPPLIER IS REGISTERED WITH THE FEDERAL GOVERNMENT’S ONLINE REPRESENTATIONS AND CERTIFICATIONS APPLICATION (ORCA) WEB SITE PSP-025, FORM C

SIGNATURE / CERTIFICATION

By signing below, the offeror certifies, under penalty of law, that the representations and certifications are accurate, current, and complete. The offeror further certifies that it will notify the Battelle Procurement Office of any changes to these representations and certifications. The representations and certifications made by the offeror, as contained herein, concern matters within the jurisdiction of an agency of the United States and the making of a false, fictitious, or fraudulent representation or certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

_____ Signature of Officer or Employee Responsible for the Offeror	_____ Date of Execution (mm/dd/yyyy)
_____ Name	_____ Legal Name of Offeror’s Organization
_____ Title	_____ Street Address
_____ Contact Phone No. (xxx-xxx-xxxx)	_____ City, State, and Postal Code
_____ Fax No. (xxx-xxx-xxxx)	_____ E-mail Address

This form will be used when the offeror has current Representations and Certifications on the Federal Government’s Online Representations and Certifications Application web site, <https://orca.bpn.gov/>.

1. TYPE AND SIZE OF BUSINESS ORGANIZATION

(a) IS OFFEROR: (CHECK ALL THAT APPLY)

LARGE BUSINESS

SMALL BUSINESS

To identify the appropriate small business size standard, visit https://eweb1.sba.gov/naics/dsp_naicssearch2.cfm and enter offeror primary NAICS Code to determine small business status according to U.S. SBA guidelines.

SMALL DISADVANTAGED BUSINESS (SDB) *

- A firm that is at least 51 percent owned and controlled by one or more socially and economically disadvantaged individual(s); or, in the case of any publicly-owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individuals; and,
- Whose management and daily business operations are controlled by one or more such individuals. **A Small Disadvantaged Business must be certified by the Small Business Administration (SBA) and registered in Pro-Net (www.pro-net.sba.gov).**
- **PLEASE CHECK THE CATEGORY IN WHICH OWNERSHIP FALLS:**

African-American

Hispanic-American

Native-American (American Indians, Eskimos, Alaskan-Native, Aleuts or Native Hawaiians)

Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, US Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, The Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu or Nauru.

Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives or Nepal).

Individual concern, other than one of the preceding. Specify other _____

CERTIFIED 8(A) FIRM *

- A firm owned and operated by socially and economically disadvantaged individuals and eligible to receive federal contracts under the Small Business Administration’s 8(a) Business Development Program.

HISTORICALLY UNDERUTILIZED BUSINESS ZONE (HUBZONE) SMALL BUSINESS *

- Only companies certified by the SBA are eligible to participate in the HUBZone program;
- The company’s principal office must be located within a “HUBZone”, which includes lands on federally recognized Native American reservations;
- The company must be owned and controlled by one or more U.S. citizens; and
- At least 35 percent of the company’s employees must be HUBZone residents. To fulfill this requirement, employees must live in a primary residence within that area for at least 180 days or be a currently registered voter.

WOMAN-OWNED SMALL BUSINESS (WOSB)

- Which is at least 51 percent owned and controlled by one or more women; or in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
- Whose management and daily business operations are controlled by one or more women.

VETERAN-OWNED SMALL BUSINESS (VOSB)

- VETERAN – The term “veteran” as the meaning defined in 38 U.S.C. 101

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- Not less than 51 percent of which is owned by one or more veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and the management and daily business operations of which are controlled by one or more veterans.

SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS (SD-VOSB)

- The term "service-disabled veteran" means a veteran with a disability that is service connected (as defined in section 101 (16) of title 38, United States Code). Specifically, with respect to disability, that such disability was incurred or aggravated, in line of duty in the active military, naval, or air service;
- The term "small business concern owned and controlled by service-disabled veterans" means a small business concern not less than 51 percent or which is owned by one or more service-disabled veterans or, in the case any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
- The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such a veteran.

HISTORICALLY BLACK COLLEGE OR UNIVERSITY/ MINORITY INSTITUTION (HBCU/MI)

- Not less than 51 percent of which is owned by one or more historically black college(s) & university(ies) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more historically black college(s) and university(ies); and
- The management and daily business operations of which are controlled by one or more historically black college(s) and university(ies).

* Attach screen print from the Central Contractor Registration (CCR) database (formerly the Small Business Association's [SBA's] PRO-Net) (<http://www.ccr.gov>) indicating small disadvantaged business or HUBZone certification.

2. BUSINESS ORGANIZATION INFORMATION

- (a) Provide a Dun and Bradstreet (DUNS) Number.
DUNS Number (xxxxxxxx) _____. To obtain a DUNS Number, go to <http://dnb.com/us>.
- (b) Provide North American Industrial Classification System (NAICS) Code(s).
(1) NAICS Code on worked performed for Battelle _____. (2) Other Codes _____.
To find applicable NAICS Codes, see <http://www.census.gov/epcd/naics02/naico602.htm>. (Offeror's NAICS classification must match business type and size classification listed in Section 1.) For assistance, contact the Battelle Small Business Programs Office at 614-424-4900.

3. TAXPAYER IDENTIFICATION

(a) Definitions

"Common parent" means the corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Corporate status" means a designation as to whether the offeror is a corporate entity, an unincorporated entity (e.g., sole proprietorship or partnership), or a corporation providing medical and health care services.

"Taxpayer Identification Number (TIN)" means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or Employer Identification Number.

- (b) All offerors are required to submit the information required in paragraphs (d) and (e) of this solicitation provision in order to comply with reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M and implementing regulations issued by the IRS. If the resulting contract is subject to reporting requirements described in FAR 4.9, the failure or refusal by the offeror to furnish the information may result in a 30 percent reduction of payments otherwise due under the contract. (Backup withholdings will be 29% after December 31, 2003; 28% after December 31, 2005).
- (c) Sections (d), (e), and (f) replaces in part the Internal Revenue Service W-9 form and complies with FAR 52.204-3 (Oct 1998).
- (d) TAXPAYER IDENTIFICATION NUMBER (TIN)**

- Social Security Number (SSN) _____ **OR** Employer Identification Number (EIN) _____
(xxx-xx-xxxx) (xx-xxxxxx)

Name: _____
(Name must match exactly the SSN or EIN name provided to the IRS)

"DBA Name: _____
(Business or "DBA" name, if different from above)

- TIN was applied for.
- For a nonresident alien, foreign corporation, or foreign partnership that performs any portion of the services in the United States, please complete and attach either the appropriate IRS Form W-8 or IRS Form 8233 (for nonresident alien individuals). These forms and instructions can be obtained from the Internal Revenue Service at www.irs.gov or 1-800-829-3676. Residents of a tax treaty country may download the tax treaty at www.irs.gov/prod/ind_infor/treaties.html.
- TIN is not required because:
- Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the U.S. and does not have an office or place of business or a fiscal paying agency in the U.S. (All services will be performed outside the United States).
 - Offeror is an agency or instrumentality of a foreign government.
 - Offeror is an agency or instrumentality of a Federal, state, or local government.
 - Other: State basis _____

(e) TYPE OF ORGANIZATION

- | | |
|-----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Individual / Sole proprietorship | <input type="checkbox"/> Partnership (including LLP) |
| <input type="checkbox"/> Corporate entity (not tax-exempt) in State of ____ | <input type="checkbox"/> Limited Liability Company (LLC) (select Single or Multi): |
| <input type="checkbox"/> Corporate entity (tax-exempt) in State of ____ | <input type="checkbox"/> Single-Owner LLC |
| <input type="checkbox"/> Government entity (Federal, state, or local) | <input type="checkbox"/> Multi-Owner LLC, TAXED as <input type="checkbox"/> Partnership or <input type="checkbox"/> Corporation |
| <input type="checkbox"/> Foreign government | <input type="checkbox"/> International organization per 26 CFR 1.6049-4 |
| <input type="checkbox"/> Non-profit organization | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Educational institution | |

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(f) COMMON PARENT

- Offeror **is not** owned or controlled by a common parent as defined in paragraph (a) of this clause
- Offeror **is** owned or controlled by a common parent as defined in paragraph (a) of this clause
- Name of Common Parent: _____ TIN: _____